

# Free algebras via a functor on partial algebras

Dion Coumans and Sam van Gool

Radboud Universiteit Nijmegen



Topology, Algebra and Categories  
in Logic (TACL)  
26 – 30 July 2011  
Marseilles, France

# Logic via algebra

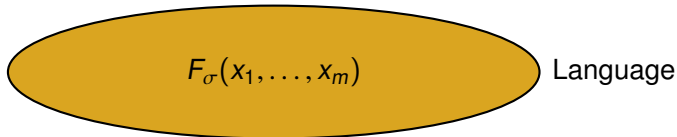
- Algebraic logic  $\mathcal{L}$ , signature  $\sigma$ , variety  $\mathbf{V}_{\mathcal{L}}$  of  $\sigma$ -algebras

## Logic via algebra

- Algebraic logic  $\mathcal{L}$ , signature  $\sigma$ , variety  $\mathbf{V}_{\mathcal{L}}$  of  $\sigma$ -algebras
- Studying the logic  $\mathcal{L} \leftrightarrow$  Studying finitely generated free  $\mathbf{V}_{\mathcal{L}}$ -algebras

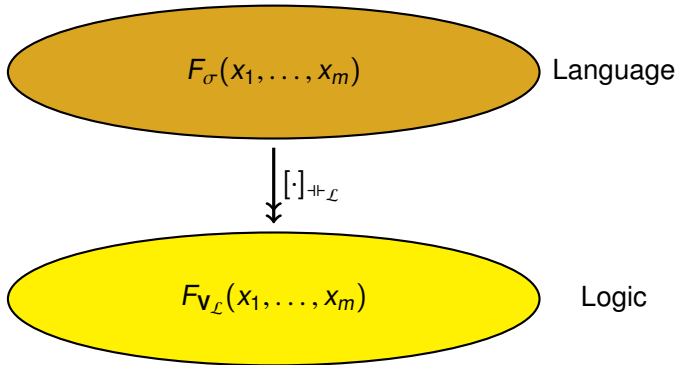
## Logic via algebra

- Algebraic logic  $\mathcal{L}$ , signature  $\sigma$ , variety  $\mathbf{V}_{\mathcal{L}}$  of  $\sigma$ -algebras
- Studying the logic  $\mathcal{L} \leftrightarrow$  Studying finitely generated free  $\mathbf{V}_{\mathcal{L}}$ -algebras



## Logic via algebra

- Algebraic logic  $\mathcal{L}$ , signature  $\sigma$ , variety  $\mathbf{V}_{\mathcal{L}}$  of  $\sigma$ -algebras
- Studying the logic  $\mathcal{L} \leftrightarrow$  Studying finitely generated free  $\mathbf{V}_{\mathcal{L}}$ -algebras



# Free algebra as colimit of a chain

- In many cases, a variety  $(\mathbf{V}_{\mathcal{L}})^-$  of reducts is well-understood and **locally finite**, e.g.:

# Free algebra as colimit of a chain

- In many cases, a variety  $(\mathbf{V}_{\mathcal{L}})^-$  of reducts is well-understood and **locally finite**, e.g.:
- Modal algebras = Boolean algebras +  $\diamond$ ,

# Free algebra as colimit of a chain

- In many cases, a variety  $(\mathbf{V}_{\mathcal{L}})^-$  of reducts is well-understood and **locally finite**, e.g.:
- Modal algebras = Boolean algebras +  $\diamond$ ,
- Heyting algebras = Distributive lattices +  $\rightarrow$ ,



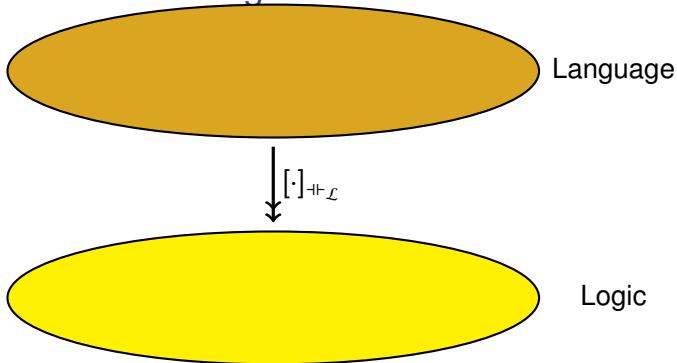
# Free algebra as colimit of a chain

- In many cases, a variety  $(\mathbf{V}_{\mathcal{L}})^-$  of reducts is well-understood and **locally finite**, e.g.:
- Modal algebras = Boolean algebras +  $\diamond$ ,
- Heyting algebras = Distributive lattices +  $\rightarrow$ ,
- ...

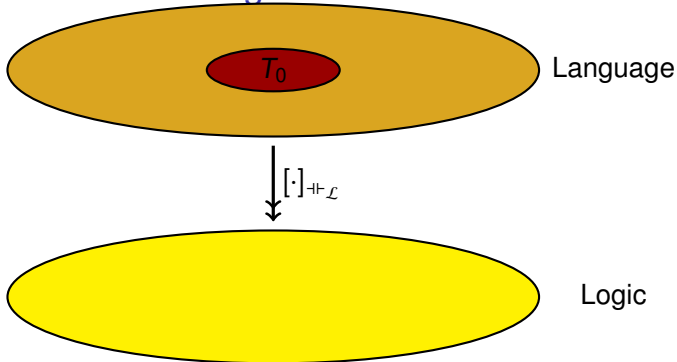
## Free algebra as colimit of a chain

- In many cases, a variety  $(\mathbf{V}_{\mathcal{L}})^-$  of reducts is well-understood and **locally finite**, e.g.:
- Modal algebras = Boolean algebras +  $\diamond$ ,
- Heyting algebras = Distributive lattices +  $\rightarrow$ ,
- ...
- Regard  $F_{\mathbf{V}_{\mathcal{L}}}(x_1, \dots, x_n)$  as colimit of a chain of finite algebras in the reduced signature, and add the additional operation(s) step-by-step:

## Free algebra as colimit of a chain

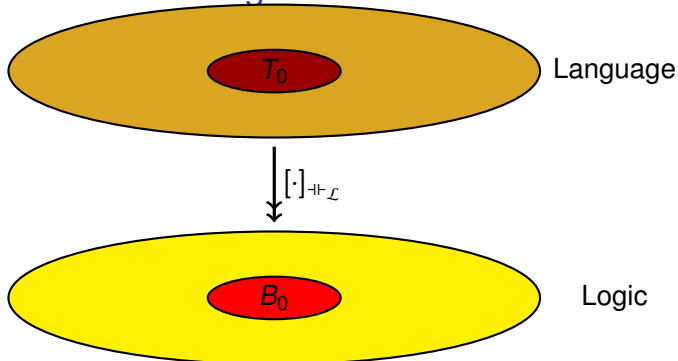


## Free algebra as colimit of a chain



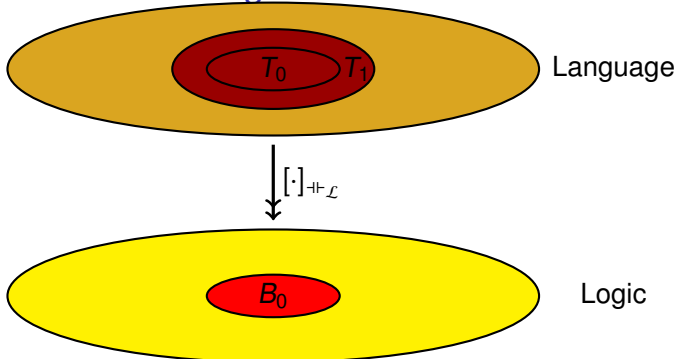
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$

## Free algebra as colimit of a chain



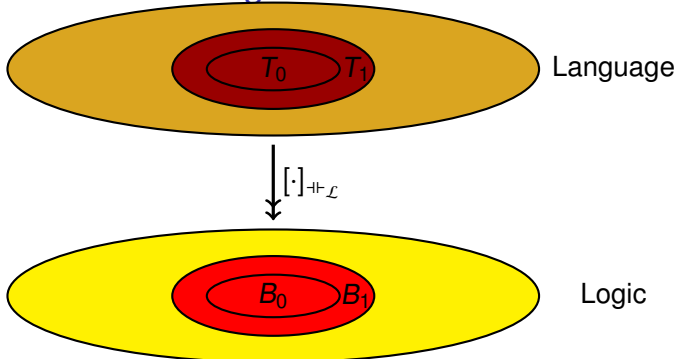
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



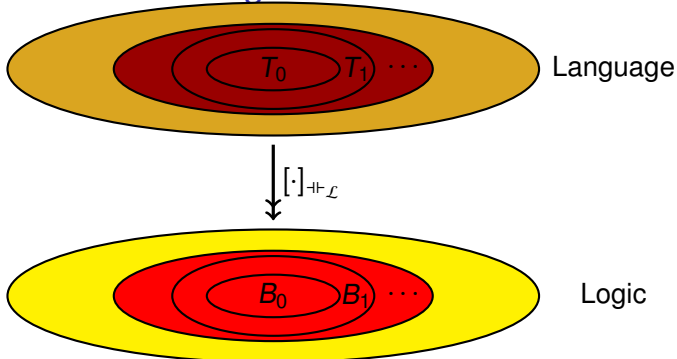
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

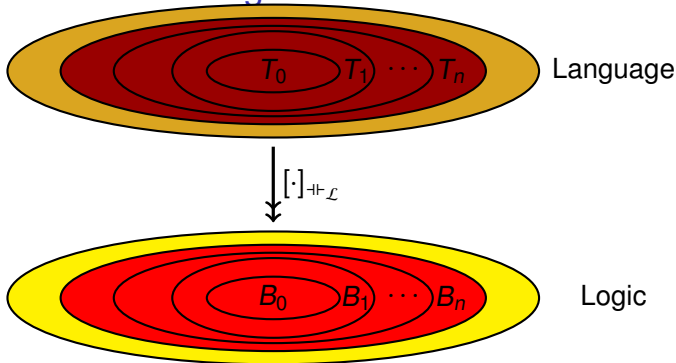
## Free algebra as colimit of a chain



- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

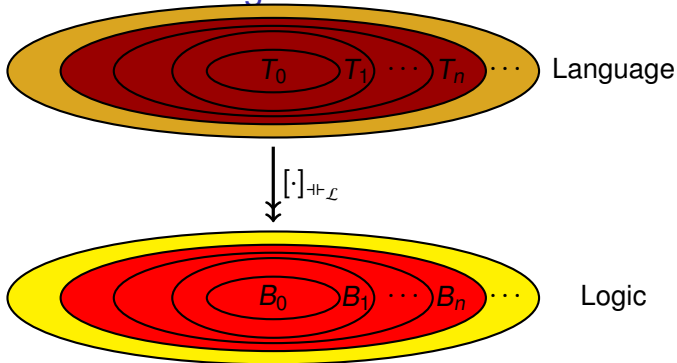


## Free algebra as colimit of a chain



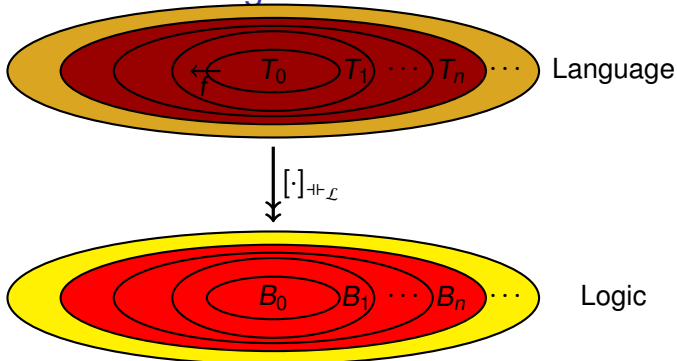
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



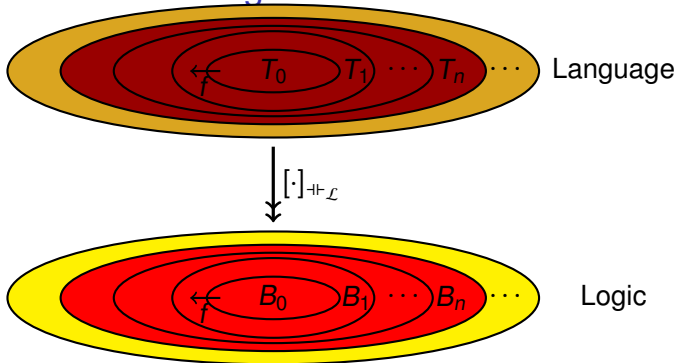
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



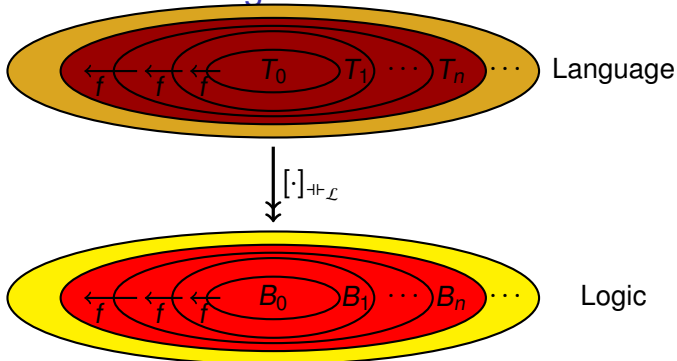
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



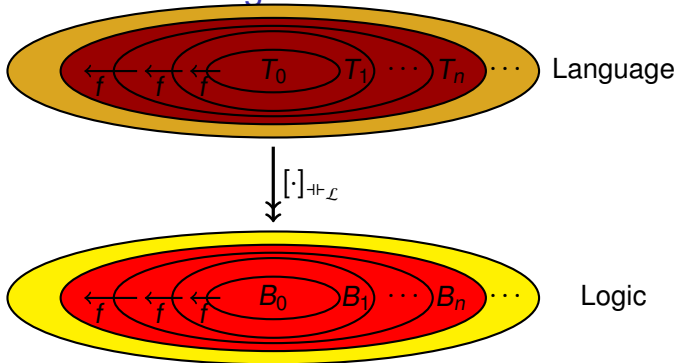
- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

## Free algebra as colimit of a chain



- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

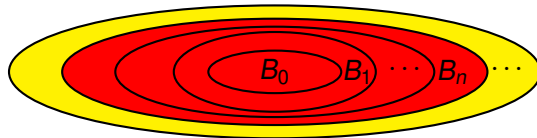
## Free algebra as colimit of a chain



- $T_n$ : formulas in variables  $x_1, \dots, x_m$  of rank  $\leq n$  in operation  $f$
- $B_n$ :  $\mathcal{L}$ -equivalence classes of formulas in  $T_n$

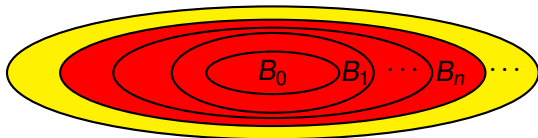
$$F_{V_{\mathcal{L}}}(x_1, \dots, x_m) = \text{colim}_{n \geq 0} B_n$$

## Research Question



**Can  $B_{n+1}$  be obtained from  $B_n$  by a uniform method?**

## Research Question

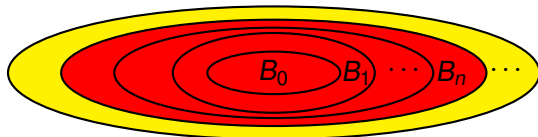


**Can  $B_{n+1}$  be obtained from  $B_n$  by a uniform method?**

- Yes, if the variety is defined by pure rank 1 equations  
[N. Bezhanishvili, Kurz]



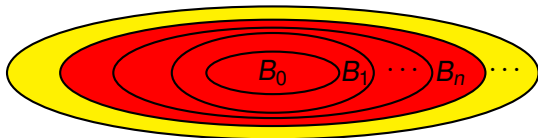
## Research Question



**Can  $B_{n+1}$  be obtained from  $B_n$  by a uniform method?**

- Yes, if the variety is defined by pure rank 1 equations [N. Bezhanishvili, Kurz]
- Yes, in some particular cases outside this class: S4 modal algebras [Ghilardi], Heyting algebras [Ghilardi, N. Bezhanishvili & Gehrke].

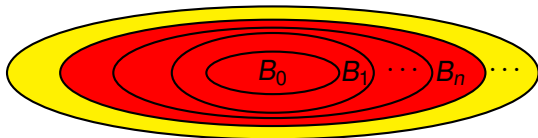
## Research Question



**Can  $B_{n+1}$  be obtained from  $B_n$  by a uniform method?**

- Yes, if the variety is defined by pure rank 1 equations [N. Bezhanishvili, Kurz]
- Yes, in some particular cases outside this class: S4 modal algebras [Ghilardi], Heyting algebras [Ghilardi, N. Bezhanishvili & Gehrke].
- Not always, since logics can be undecidable.

## Research Question



**Can  $B_{n+1}$  be obtained from  $B_n$  by a uniform method?**

- Yes, if the variety is defined by pure rank 1 equations [N. Bezhanishvili, Kurz]
- Yes, in some particular cases outside this class: S4 modal algebras [Ghilardi], Heyting algebras [Ghilardi, N. Bezhanishvili & Gehrke].
- Not always, since logics can be undecidable.
- **We give general sufficient conditions** under which this is possible (known cases follow as particular instances).

# Partial algebras

- In the chain,  $B_{n+1}$  is a **partial algebra**, where the domain of the operation  $f$  is  $B_n$ .

# Partial algebras

- In the chain,  $B_{n+1}$  is a **partial algebra**, where the domain of the operation  $f$  is  $B_n$ .
- The variety  $\mathbf{V}$  is contained in a category  $\mathbf{pV}$  of **partial algebras** for the variety  $\mathbf{V}$ .

# Partial algebras

- In the chain,  $B_{n+1}$  is a **partial algebra**, where the domain of the operation  $f$  is  $B_n$ .
- The variety  $\mathbf{V}$  is contained in a category  $\mathbf{pV}$  of **partial algebras** for the variety  $\mathbf{V}$ .
- A **homomorphism**  $h : A \rightarrow B$  of partial algebras is a function which preserves all total operations, and preserves the partial operation  $f$  whenever defined.

# Partial algebras

- In the chain,  $B_{n+1}$  is a **partial algebra**, where the domain of the operation  $f$  is  $B_n$ .
- The variety  $\mathbf{V}$  is contained in a category  $\mathbf{pV}$  of **partial algebras** for the variety  $\mathbf{V}$ .
- A **homomorphism**  $h : A \rightarrow B$  of partial algebras is a function which preserves all total operations, and preserves the partial operation  $f$  whenever defined.
- A homomorphism  $h : A \rightarrow B$  is **image-total** if the image of  $h$  is contained in the domain of  $f^B$ .

# Free image-total functor

Definition

## Definition

A functor  $F : \mathbf{pV} \rightarrow \mathbf{pV}$  is **free image-total** if there is a component-wise image-total natural transformation  $\eta : 1_{\mathbf{pV}} \rightarrow F$  such that, for all image-total  $h : A \rightarrow B$ , there exists a unique  $\bar{h} : FA \rightarrow B$  making the following diagram commute:

$$\begin{array}{ccc} A & \xrightarrow{\eta_A} & FA \\ & \searrow h & \downarrow \bar{h} \\ & & B \end{array}$$



# Free image-total functor

## Main theorem

### Theorem

Let  $\eta : 1 \rightarrow F$  be a free image-total functor and  $A_0 \in \mathbf{pV}$ . Let  $A_\omega$  be the partial algebra-colimit of the image-total chain

$$\{\eta_{F^n(A_0)} : F^n(A_0) \rightarrow F^{n+1}(A_0)\}_{n \geq 0}.$$

If  $A_\omega$  is in  $\mathbf{V}$ , then  $A_\omega$  is the free total  $\mathbf{V}$ -algebra over  $A_0$ .

# Free image-total functor

## Main theorem

### Theorem

Let  $\eta : 1 \rightarrow F$  be a free image-total functor and  $A_0 \in \mathbf{pV}$ . Let  $A_\omega$  be the partial algebra-colimit of the image-total chain

$$\{\eta_{F^n(A_0)} : F^n(A_0) \rightarrow F^{n+1}(A_0)\}_{n \geq 0}.$$

If  $A_\omega$  is in  $\mathbf{V}$ , then  $A_\omega$  is the free total  $\mathbf{V}$ -algebra over  $A_0$ .

### Proof.

Category-theoretic arguments. □

# Free image-total functor

## Main theorem

### Theorem

Let  $\eta : 1 \rightarrow F$  be a free image-total functor and  $A_0 \in \mathbf{pV}$ . Let  $A_\omega$  be the partial algebra-colimit of the image-total chain

$$\{\eta_{F^n(A_0)} : F^n(A_0) \rightarrow F^{n+1}(A_0)\}_{n \geq 0}.$$

If  $A_\omega$  is in  $\mathbf{V}$ , then  $A_\omega$  is the free total  $\mathbf{V}$ -algebra over  $A_0$ .

### Proof.

Category-theoretic arguments. □

Now, to apply this theorem:

# Free image-total functor

## Main theorem

### Theorem

Let  $\eta : 1 \rightarrow F$  be a free image-total functor and  $A_0 \in \mathbf{pV}$ . Let  $A_\omega$  be the partial algebra-colimit of the image-total chain

$$\{\eta_{F^n(A_0)} : F^n(A_0) \rightarrow F^{n+1}(A_0)\}_{n \geq 0}.$$

If  $A_\omega$  is in  $\mathbf{V}$ , then  $A_\omega$  is the free total  $\mathbf{V}$ -algebra over  $A_0$ .

### Proof.

Category-theoretic arguments. □

Now, to apply this theorem:

- We construct a free image-total functor for any set of quasi-equations,

# Free image-total functor

## Main theorem

### Theorem

Let  $\eta : 1 \rightarrow F$  be a free image-total functor and  $A_0 \in \mathbf{pV}$ . Let  $A_\omega$  be the partial algebra-colimit of the image-total chain

$$\{\eta_{F^n(A_0)} : F^n(A_0) \rightarrow F^{n+1}(A_0)\}_{n \geq 0}.$$

If  $A_\omega$  is in  $\mathbf{V}$ , then  $A_\omega$  is the free total  $\mathbf{V}$ -algebra over  $A_0$ .

### Proof.

Category-theoretic arguments. □

Now, to apply this theorem:

- We construct a free image-total functor for any set of quasi-equations,
- We give sufficient conditions under which  $A_\omega \in \mathbf{V}$ .

# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .

# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .
- For  $A \in \mathbf{pV}$ , define

$$F_{\mathcal{E}}(A) := [A + F_{\mathbf{V}^-}(\mathbf{f}A)]/\theta_A$$

# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .
- For  $A \in \mathbf{pV}$ , define

$$F_{\mathcal{E}}(A) := [A + F_{\mathbf{V}^-}(\mathbf{f}A)]/\theta_A$$

- $\mathbf{V}^-$ : reduct of  $\mathbf{V}$  to the signature of total operations,



# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .
- For  $A \in \mathbf{pV}$ , define

$$F_{\mathcal{E}}(A) := [A + F_{\mathbf{V}^-}(\mathbf{f}A)]/\theta_A$$

- $\mathbf{V}^-$ : reduct of  $\mathbf{V}$  to the signature of total operations,
- $\mathbf{f}A$ : formal elements  $\{\mathbf{f}a : a \in A\}$ , yielding partial operation  $a \mapsto \mathbf{f}a$  for  $a \in A$ ,

# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .
- For  $A \in \mathbf{pV}$ , define

$$F_{\mathcal{E}}(A) := [A + F_{\mathbf{V}^-}(\mathbf{f}A)]/\theta_A$$

- $\mathbf{V}^-$ : reduct of  $\mathbf{V}$  to the signature of total operations,
- $\mathbf{f}A$ : formal elements  $\{\mathbf{f}a : a \in A\}$ , yielding partial operation  $a \mapsto \mathbf{f}a$  for  $a \in A$ ,
- $\theta_A$ : smallest  $\mathbf{pV}$ -congruence on  $A + F_{\mathbf{V}^-}(\mathbf{f}A)$  containing  $\langle f^A a, \mathbf{f}a \rangle$ , for all  $a \in \text{dom}(f^A)$ .

# Free image-total functor

## Construction

- Let  $\mathcal{E}$  be a set of quasi-equations (of rank at most 1) axiomatizing the variety  $\mathbf{V}$ .
- For  $A \in \mathbf{pV}$ , define

$$F_{\mathcal{E}}(A) := [A + F_{\mathbf{V}^-}(\mathbf{f}A)]/\theta_A$$

- $\mathbf{V}^-$ : reduct of  $\mathbf{V}$  to the signature of total operations,
- $\mathbf{f}A$ : formal elements  $\{\mathbf{f}a : a \in A\}$ , yielding partial operation  $a \mapsto \mathbf{f}a$  for  $a \in A$ ,
- $\theta_A$ : smallest  $\mathbf{pV}$ -congruence on  $A + F_{\mathbf{V}^-}(\mathbf{f}A)$  containing  $\langle f^A a, \mathbf{f}a \rangle$ , for all  $a \in \text{dom}(f^A)$ .
- $\eta_A$  is the composite

$$A \mapsto A + F_{\mathbf{V}^-}(\mathbf{f}A) \twoheadrightarrow F_{\mathcal{E}}(A).$$

# Free image-total functor

Lemma

## Lemma

$F_{\mathcal{E}}$  is a free image-total functor with universal arrow  $\eta$ .

Furthermore, if  $A_0 \in \mathbf{pV}$  is such that each component  $\eta_{F_{\mathcal{E}}^n(A_0)} : F_{\mathcal{E}}^n(A_0) \rightarrow F_{\mathcal{E}}^{n+1}(A_0)$  is an embedding, then  $A_{\omega} \in \mathbf{V}$ .

# Free image-total functor

Lemma

## Lemma

$F_{\mathcal{E}}$  is a free image-total functor with universal arrow  $\eta$ .  
Furthermore, if  $A_0 \in \mathbf{pV}$  is such that each component  
 $\eta_{F_{\mathcal{E}}^n(A_0)} : F_{\mathcal{E}}^n(A_0) \rightarrow F_{\mathcal{E}}^{n+1}(A_0)$  is an embedding, then  $A_{\omega} \in \mathbf{V}$ .

## Proof.

Uses universal algebra for partial algebras. □

# Free image-total functor

Lemma

## Lemma

$F_{\mathcal{E}}$  is a free image-total functor with universal arrow  $\eta$ .  
Furthermore, if  $A_0 \in \mathbf{pV}$  is such that each component  
 $\eta_{F_{\mathcal{E}}^n(A_0)} : F_{\mathcal{E}}^n(A_0) \rightarrow F_{\mathcal{E}}^{n+1}(A_0)$  is an embedding, then  $A_{\omega} \in \mathbf{V}$ .

## Proof.

Uses universal algebra for partial algebras. □

## Corollary

If  $A_0 \in \mathbf{pV}$  is such that each component  
 $\eta_{F_{\mathcal{E}}^n(A_0)} : F_{\mathcal{E}}^n(A_0) \rightarrow F_{\mathcal{E}}^{n+1}(A_0)$  is an embedding, then  $A_{\omega}$  is the  
free total  $\mathbf{V}$ -algebra over  $A_0$ .

# The variety **KB**

- Signature =  $\perp, \top, \vee, \wedge, \neg, \diamond$

# The variety **KB**

- Signature =  $\perp, \top, \vee, \wedge, \neg, \diamond$
- Axioms = Boolean algebras +

$$\diamond \perp = \perp$$

$$\diamond(x \vee y) = \diamond x \vee \diamond y$$

$$x \leq \neg \diamond y \rightarrow y \leq \neg \diamond x.$$



## The variety KB

- Signature =  $\perp, \top, \vee, \wedge, \neg, \diamond$
- Axioms = Boolean algebras +

$$\diamond \perp = \perp$$

$$\diamond(x \vee y) = \diamond x \vee \diamond y$$

$$x \leq \neg \diamond y \rightarrow y \leq \neg \diamond x.$$

- (Finite) Duality theory:

## The variety **KB**

- Signature =  $\perp, \top, \vee, \wedge, \neg, \diamond$
- Axioms = Boolean algebras +

$$\diamond \perp = \perp$$

$$\diamond(x \vee y) = \diamond x \vee \diamond y$$

$$x \leq \neg \diamond y \rightarrow y \leq \neg \diamond x.$$

- (Finite) Duality theory:
  - **KB** algebras  $\leftrightarrow$  Sets with a symmetric relation

# The variety **KB**

- Signature =  $\perp, \top, \vee, \wedge, \neg, \diamond$
- Axioms = Boolean algebras +

$$\diamond \perp = \perp$$

$$\diamond(x \vee y) = \diamond x \vee \diamond y$$

$$x \leq \neg \diamond y \rightarrow y \leq \neg \diamond x.$$

- **(Finite) Duality theory:**
  - **KB** algebras  $\leftrightarrow$  Sets with a symmetric relation
  - **Partial KB** algebras  $\leftrightarrow$  Sets with an **equivalence relation**  $\sim$  and a quasi-symmetric relation  $R$  satisfying  $R \circ \sim \subseteq R$

## The functor $F_{\mathbf{KB}}$

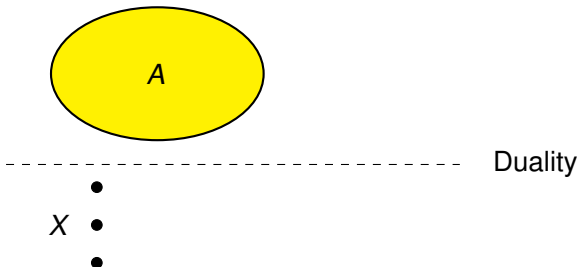
- By definition, for a partial **KB** algebra  $A$ ,

$$F_{\mathbf{KB}}(A) = [A + F_{\mathbf{BA}}(\blacklozenge A)]/\theta_A.$$

## The functor $F_{\mathbf{KB}}$

- By definition, for a partial **KB** algebra  $A$ ,

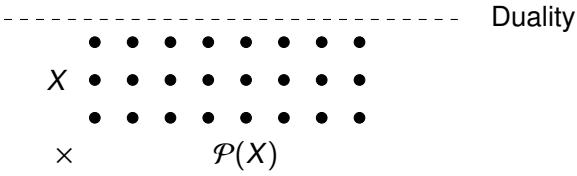
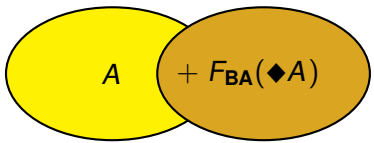
$$F_{\mathbf{KB}}(A) = [A + F_{\mathbf{BA}}(\blacklozenge A)]/\theta_A.$$



# The functor $F_{\mathbf{KB}}$

- By definition, for a partial **KB** algebra  $A$ ,

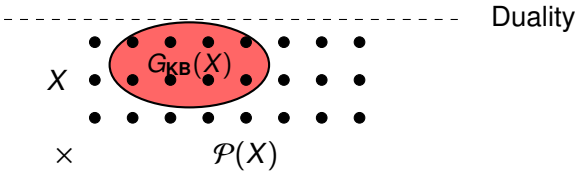
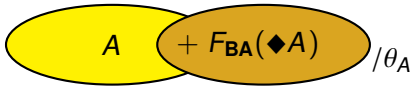
$$F_{\mathbf{KB}}(A) = [A + F_{\mathbf{BA}}(\blacklozenge A)]/\theta_A.$$



# The functor $F_{KB}$

- By definition, for a partial **KB** algebra  $A$ ,

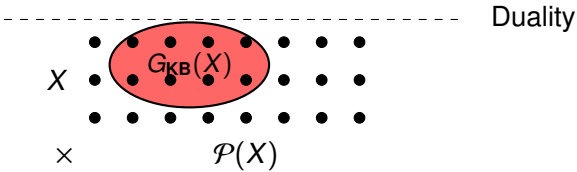
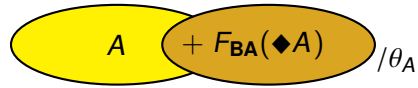
$$F_{KB}(A) = [A + F_{BA}(\blacklozenge A)]/\theta_A.$$



# The functor $F_{KB}$

- By definition, for a partial **KB** algebra  $A$ ,

$$F_{KB}(A) = [A + F_{BA}(\blacklozenge A)]/\theta_A.$$



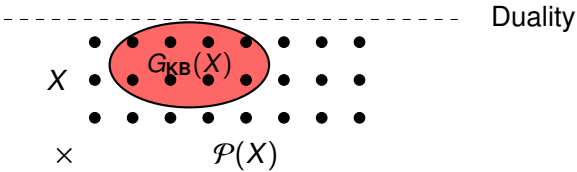
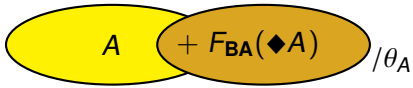
- Using **correspondence theory**, one can explicitly calculate a first-order definition of the points in  $G_{KB}(X, R, \sim)$



# The functor $F_{\mathbf{KB}}$

- By definition, for a partial **KB** algebra  $A$ ,


$$F_{\mathbf{KB}}(A) = [A + F_{\mathbf{BA}}(\blacklozenge A)]/\theta_A.$$



- Using **correspondence theory**, one can explicitly calculate a first-order definition of the points in  $G_{\mathbf{KB}}(X, R, \sim)$
- These points are **normal forms** for **KB**.

# The chain for **KB**

## First steps

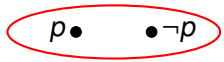
$$X_0$$


The diagram shows the expression  $p \bullet \bullet \neg p$  enclosed in a red oval. This expression represents the initial step in the construction of the chain for **KB**.

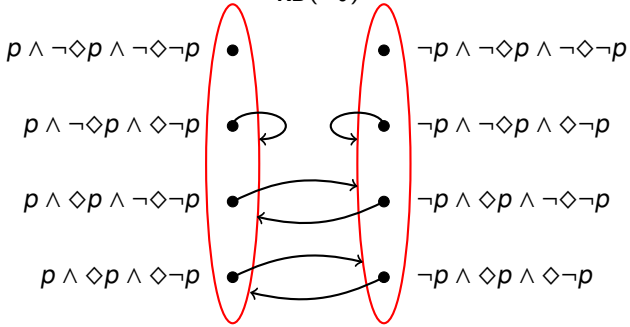
# The chain for KB

## First steps

$X_0$

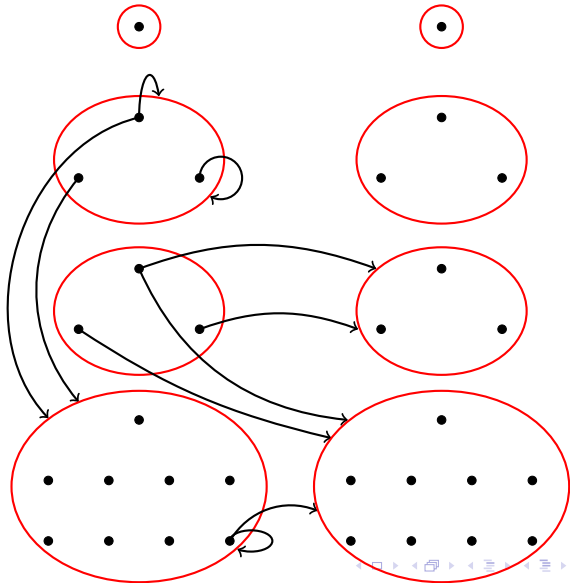


$G_{KB}(X_0)$



# The chain for **KB**

(part of)  $G_{\mathbf{KB}}^2(X_0)$



Free alg's via  
functor on  
partial alg's

Dion Coumans  
and  
Sam van Gool

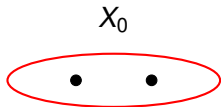
Free algebra  
step-by-step

Free  
image-total  
functor

Application to  
KB

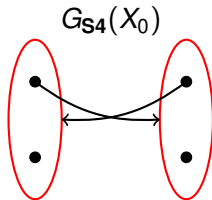
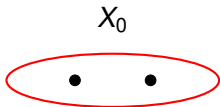
# The chain for **S4**

First steps



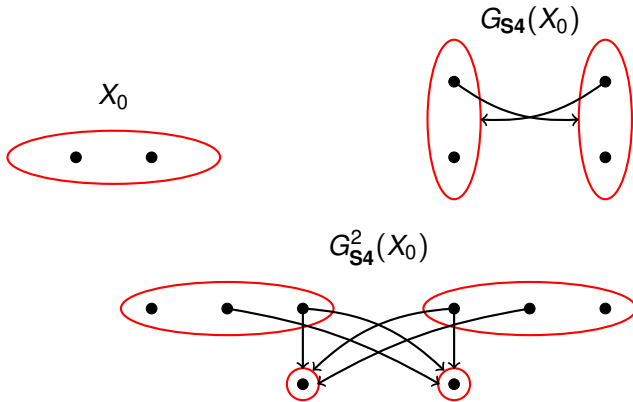
# The chain for $S_4$

## First steps



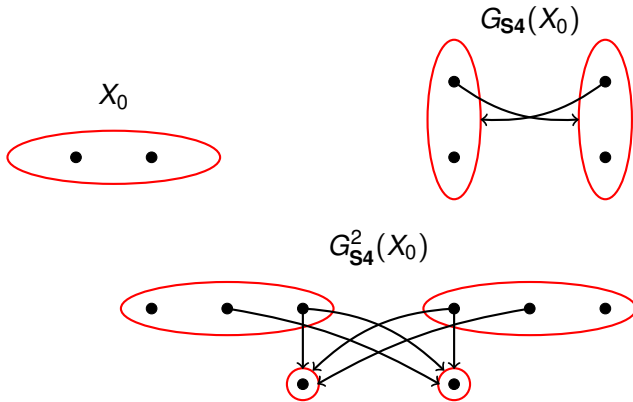
# The chain for $S_4$

First steps



# The chain for $S_4$

First steps





# Free algebras via a functor on partial algebras

Dion Coumans and Sam van Gool

Radboud Universiteit Nijmegen



Topology, Algebra and Categories  
in Logic (TACL)  
26 – 30 July 2011  
Marseilles, France